THE SPECIFICATION OF EARTHQUAKE RESISTANCE FOR ELECTRICITY SYSTEM EQUIPMENT

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SYNOPSIS
The paper reports the development of earthquake resistance requirements in New Zealand Electricity Department specifications since 1968. It quotes clauses, as issued for high voltage circuit breakers and for power transformers to illustrate the special requirements of brittle structures and of flexibly mounted massive items.

The earthquake clauses for 250MW turbogenerators draw attention to the special susceptibility of low tuned supports, while the clauses for the steamraising units emphasise the importance and the difficulties of achieving adequate ductile performance of such large structures during earthquakes.

A method is presented, of choosing seismic design factors for parts of buildings and of appendages according to dynamic and material properties and height above ground.

The paper describes some of the intellectual obstacles for power engineers wishing to achieve adequate understanding of earthquake engineering - the view that "earthquakes are only for Civil Engineers", the idea that a simple, low seismic factor will by itself, ensure resistance to earthquakes, the erroneous concentration on "the frequency of the earthquake", the limited knowledge of many manufacturers and most of all the false assurances of the concept of "factor of safety" when linked to a seismic design code based on the assumption that structures possess ductility.

INTRODUCTION
In 1968 a paper by this author reported work which had been done by N.Z.E.D. under the guidance of Mr. R. I. Skinner of D.S.I.R. to increase the strength of the porcelain columns which supported the mercury are valves in the H.V.D.C. inter-island transmission link. Ref. (1)

It also reported that the then almost universal procedure for specifying earthquake resistance for electrical equipment, namely the use of a single low-valued seismic factor applied uniformly to all parts of equipment, would be seriously inadequate in a number of cases.

The paper showed that it was essential to treat the effects of earthquakes as "a matter of dynamic response to random motion" and pointed out the usefulness of "response spectra" in providing graphical solutions to the differential equations of motion. A procedure was outlined for specifying earthquake strength for equipment with brittle components that led to the use of seismic factors very much larger than are commonly used in civil and structural engineering.

The paper dealt very briefly with the probabilities of equipment being subjected to earthquakes and concluded that in New Zealand the smoothed response spectra already used in the D.S.I.R. Handbook on Tall Buildings

Attention was drawn to the anomaly in calculation of overturning moments for rigid structures with medium ratios of height of centre of gravity to width of base.

A warning was given that "rule of thumb" factors can only be "rough and ready". It was claimed by there was sufficient evidence of very large earthquake response by certain equipment under certain circumstances to warrant the use of factors that to some people seemed high. It was claimed that the cost of following the recommendations was usually small, but if it turned out to be excessive the remedy was straightforward: do the necessary engineering analysis and experiment to show that a lower factor can be used to obtain the target result.

DEVELOPMENTS SINCE OCTOBER 1968
2.1 Recommendations of 1968 Paper

It is interesting to examine the actions which the paper suggested were necessary:

"1) The N.Z. Electricity Supply regulations "strength" clause No. 61 should be amended to provide separate and appropriate seismic factors for plant instead of including it in the 1/6g requirement along with power and substation buildings."

No change has been made so far.

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The December 1970 amendments to N.Z.S.S. 1900 Chapter 8 contained some additional and some amplified provisions for "parts of buildings" to guard against resonant amplification and lack of ductility, but still contained no warning to other engineers of the possible inadequacy of trying to fit "Building Codes" to other types of structure.

Both of these provisions produce very roughly the desired result i.e. they prevent people reaching the conclusion that equipment of certain proportions need not be secured by holding down bolts; however, the 3/4g requirement becomes excessively costly if applied uncritically to larger sizes of equipment and the "no dead load" requirement results in excessively large holding down bolts in items with low centre of gravity. These provisions also illustrate that "simple" rules to cover a wide range of dynamically different situations can only be crude approximations.

The Department's current specifications for turbo-alternators (sect. 4.3) and Steam Boilers (sect. 4.4) contain a compromise version requiring that not more than half the gravity effect of dead load be allowed to reduce seismic-induced stresses.

The December 1970 amendments contained no comment - but the point is not well expressed. It was intended to convey the author's concern about the inadequacy of factors for parts of buildings listed without regard to the natural periods of vibration of the part or of the building, whether its failure would be ductile or brittle and what level in the building it is mounted. The amendments to the loading code currently under discussion do take these into account.

The author was in error. The Standards Association can only respond when the needs of an industry are expressed and active support is offered. In the absence of joint expression of such a need, the N.Z.E.D. has in effect had to draw up its own codes.

Only limited action on these lines has been possible within N.Z.E.D. so far, but the Research Section has given assistance and advice to other sections as far as its limited manpower resources allow.

A project to which the Department's staff has contributed is a brief survey of seismic design methods as applied to nuclear reactors, which is being produced by a Working Group of the N.Z. Atomic Energy Committee. The survey illustrates two points of difficulty in training staff to look after earthquake requirements -

(i) the 110 pages are in effect a literature study of some 80 references which are a minute fraction of the total available. This indicates the vast ground work which must be covered by a beginner - a large task for someone with a sound grasp of structural dynamics and an impossible task for anyone without.

(ii) the group is having difficulty terminating the study because of the rapid inflow of new and relevant information.

Earthquake clauses have now been included in N.Z.E.D. purchasing specifications whenever they are appropriate and it can be claimed that practically all equipment being installed in the Department's system is earthquake resistant. It is not of course earthquake proof. Some damage can be expected in severe earthquakes, but it should not be large even in the areas of strongest shaking and should not extend over a large area.

A large amount of existing equipment has been examined and many obvious weaknesses strengthened. There are, however, many circuit breakers purchased some years ago which still require modifying as do a number of items of H.V.D.C. equipment. This work still awaits suitable manpower availability.

2.2 The San Fernando Earthquake 9 Feb. 1971

The San Fernando earthquake in Feb. 1971 taught three major lessons, according to David J. Leeds, Ref. 2

"1. In the future, the emphasis in earthquake-resistant design must be on providing uninterrupted service of community lifelines, including utilities, communications, transportation, fire and hospital service. Failure of the community lifelines poses a threat to human survival and to community operation.

2. Earthquakes of moderate magnitude (6 Richter or larger) can have ground accelerations as high as 0.50g (0.5 times the acceleration of gravity.)

3. The "advanced" technique of aseismic design criteria in vogue for the past ten years - response
spectra - is no longer acceptable. It only tells half the story. 'Timber frame' design and analysis is no longer required for important structures.'

The damage caused by this earthquake demonstrated many times over the points made in the author's 1969 paper. Amongst the most spectacular damage was the devastation which occurred at Sylmar in the newly completed Southern terminal of the 800 kV 1440 MW H.V.D.C. transmission link for which the traditional 0.2g uniform seismic design factor had been specified. The damage was severe, putting the link out of service for nearly 18 months and causing damage said to be in the region of $28 million.

One notable exception amongst the general damage was the group of porcelain columns supporting the 15,000lb mercury arc valves. Not only the consulting and anchoring engineers who investigated the failures on behalf of the Bonneville Power Administration which owns the other end of the D.C. transmission system concluded that the columns had appropriate strength and 'were an excellent example of sound antisismic design'. Ref. 3.

It is interesting to note that Limseth and Skoglund - who were involved in the design of the valve supports - presented a paper to the I.E.E. in 1969, Ref. 4, which described the anti-seismic design of structural porcelain on the lines advocated in the author's 1969 paper.

The consultants examination of the equipment which failed was very thorough and far more detailed than any studies yet carried out in New Zealand. For the few very simple structures like the valve supports they used response spectra which they estimated would represent the ground shaking during the earthquake. For all other structures they used their computer program which applied to a mathematical model estimated accelerations to present earthquake response spectra. The consultants relied on the ground shaking during the earthquake. For all other structures they used their computer program which applied to a mathematical model estimated accelerations to present earthquake response spectra. The consultants relied on the computer output giving an indication of the maximum earthquake load on the equipment. They used response spectra Fig. 1 being the averaged and smoothed spectra from the eight components of El Centro 34 and 40, Taft 52 and Olympia 49, 'weighted' up to the general level of El Centro 40 N-S.

Modifications to recognise specific site characteristics are being introduced for the first time for some of the Huntly power station equipment. (see 'turbo alternator' and 'steam raising equipment'). This can only be done for plant and equipment which remain always on a specific site - equipment subject to transfer must be purchased with the maximum strength requirement.

Design Measures. Recognising that seismic design factors for plant and equipment with brittle components or machined parts which will not tolerate deformation, may have to be very much longer than those used for building structures, seismic design factors are derived from first principles for each class of equipment -

1) by reading from a set of design earthquake response spectra, the acceleration response of an elastic body with the same natural period and damping, then

2) - providing sufficient strength or - providing sufficient ductility or - providing sufficient damping.

"Sufficient strength" for equipment with brittle components, low damping and natural periods between about .2 and .4 seconds, may require seismic factors up to 10 or more times as large as those used for buildings.

"Sufficient ductility" can reduce seismic design factors by the inclusion of body response spectra Fig. 1 irrespective of the degree of damping (such dampers up to 2 million pounds force capacity are advertised for use in nuclear power stations).

3.2 Outdoor Circuit Breakers

Section 4.1 gives the specification clauses setting out N.Z.E.D.'s present earthquake requirements for Outdoor (High Voltage) Circuit Breakers. The following points should be noted:

(i) for circuit breakers which are of a simple construction a very simple approach is provided which requires a seismic design factor related to the degree of damping (see drawing 25396) irrespective of the natural period of vibration. This is very rough but very simple
and was very familiar with earthquake design procedures. The requirement for more sophisticated methods of analysis for more complex constructions reflects the growing capability and experience of manufacturers in their methods of testing and analysis.

(iii) Attention is drawn to the fact that there is little point in giving a supporting structure a yield strength much higher than the fracture strength of the critical porcelain. It will be noted that earthquake loading is to be considered in only one direction at a time. Earthquake stresses in any particular direction at any particular site can be evaluated in terms of an inverse relationship of magnitude and probability which can be only vaguely indicated. The increase in risk of damage due to the combined effect of earthquake motion in all three directions is in principle calculable but not much studied. Thus, it is essential to consider carefully whether the great increase in calculation complexity necessary to allow for simultaneous effects of all components is warranted by the ill-defined risk. The N.Z.E.D. specification is based on the view that for most electrical equipment, design loads of fairly large magnitude, considered one at a time, are simpler and more economical for a manufacturer to use and for a purchaser to adjudicate than lower loads applied in complex manner.

A method of improving the earthquake resistance of existing circuit breakers with weak porcelain columns was described in ref. (1). This used cantilever legs to support the tank of the circuit breaker, flexibly enough to give it a natural frequency of about 2 Hz. In ref. (5) Winthrop and Hitchcock studied the response of this arrangement to different earthquakes and with different degrees of damping. Fig. 4 shows the circuit breaker outline, the mathematical model as a two body problem and the differential equations of motion. Fig. 5 shows how the acceleration response varies with damping type, damping magnitude and earthquake size. Fig. 6 defines the types of damping studied namely, "viscous" damping i.e. force proportional to velocity, "velocity squared" i.e. force proportional to the square of the velocity and "coulomb or friction" damping i.e. force constant except at zero velocity.

Our earlier circuit breakers of this type have an ultimate strength equivalent to nearly 0.6g; the curves suggest they would probably be protected, although without much margin, from an "El Centro 40 N-S" sized earthquake by this type of mounting fitted with 40% equivalent of any of the three kinds of damping. Later models of the same breaker with porcelain columns as strong as an earthquake half as large again.

Fig. 7 shows the maximum displacement of the circuit breaker tank body for the same parameters and indicates the amount of movement which must be accommodated by the springs and the dampers. This shows that the ± 1.5 inches total movement allowance derived by very elementary methods in 1968 was equal to the actual displacement derived by the computer study. It, therefore, should have its movement allowance increased to say ± 2.5 inches to permit it to give protection to the double strength version of the breaker up to 1.5 times the "El Centro" sized earthquake.

However, the study also shows that "velocity squared" dampers are almost equally effective. It should be noted that oleo-dampers with exactly the characteristics required are available almost off the shelf in custom built sizes from local air automation companies. A possible alternative would be the use of the steel deformation type of damper described by Skinner in ref. (6).

3.3 Other structures containing porcelain

In ref. (7) Gilmour and Hitchcock describe the design of a yielding structure to add earthquake resistance to the porcelain columns supporting the 80 tonne 250 kV smoothing reactors in the H.V.D.C. system, using Prof. Jennings' yield-ratio response spectrum. (8) Jennings and Husid study on the collapse of yielding structures, ref. (9) and Bertaro and Popov's study of Alternating Strains in Steel Beams Ref. (10). Fig. (8) shows diagrammatically the original structure supported on 4 rigid porcelain columns 2.3 m tall, the modified arrangement in which steel beams with carefully calculated yield characteristics were introduced so that plastic hinges would form and absorb earthquake energy before bending moments reached breaking load on the porcelains.

Fig. (9) shows force-deflection hysteresis loops from the calculated response of the structure to the El Centro 40 N-S earthquake motion.

3.4 Outdoor Oil Immersed Power Transformers

Section 4.2 gives specification clauses setting out the Department's present earthquake requirements for transformers. They are based on measurements which show that common transformer installations have sufficient flexibility, partly in foundations, partly in under-carriages and sufficiently low damping, to make them strong amplifiers of earthquake motion. (i.e. a number of transformers showed a natural period of about 2 sec).

The .75g seismic design load is chosen because of the high response of such transformers to the design earthquake even in foundation deformation raises apparent damping to about 10% of critical and is higher than required by normal structural considerations in order to reduce severe shock loading on porcelain bushings consequent on yielding of holding down bolts.

The 1.5g requirement for appendages reflects the very high earthquake responses experienced by lightweight appendages to large masses when their natural periods of vibration are similar. Where foundations and transformer under-carriages are known to be very rigid or where transformers without wheels are mounted direct on rigid ground level slabs, comparable security can be obtained with seismic design load as low as .4g.

We believe that transformers are another
example of plant where it is not possible to "define an earthquake and leave it all to the manufacturer". The 1969 paper shows and later measurements confirm how flexible a number of our transformers were found to be and since a part of this flexibility is entirely beyond the knowledge and control of the manufacturer it is the purchaser who must decide what level of seismic design factor is necessary to cover all the varying local conditions in which transformers may be installed.

N.Z.E.D.'s wish to mount transformers on wheels in order to continue the existing handling policy means that flexibility of wheels is added to that of foundation pads and the measured natural period of many of our transformers is in the order of .15 sec. to .2 sec.

If it could be shown that such wheels and axles are capable of "ductile" yielding to four or five times their yield point deflection then a relatively low seismic factor could be considered as in normal structural design but the question would have to be asked, "Can such yielding of wheels and axles be designed to take place smoothly and without shock loading to transformer bushings and without risk of serious collapse?". The concept of "Ductile" wheels and axles is just too difficult to contemplate, and we therefore require our transformer design to survive the design earthquake elastically. As explained in Ref (1) this requires a seismic factor of the order of .75g.

3.5 Turbo-generators on low-tuned supports

Section 4.3 sets out the earthquake clauses for the 250 MW turbo-generator sets for Huntly power station.

The growing size of turbo-generators has led to the adoption of the low-tuned support structure with a natural frequency of vibration in the vicinity of 3 to 5 Hz. This is in the region of maximum response to most earthquakes and even at Huntly where the groundshaking is likely to have a predominant period in the region of say 1 second, it is believed there will be a substantial effect in the 3 to 5 Hz range as usual.

Ground motion studies are being carried out, but for this specification a set of design spectra has been created by multiplying the "acceleration" values of our normally used "El Centro 1940 N-S" based spectra by 0.8, multiplying the scale values for period by 2 and regarding structures with natural frequencies above 10Hz as being "rigid", i.e. experiencing very little amplification of earthquake motion.

This procedure indicates an expectation of:

(i) a relative lowering of maximum ground surface motion in very strong earthquakes because the soil is not strong
(ii) a general shift of the peaks of the response nearer to a period of one second because of the depth and flexibility of the soil on the site above bedrock.
(iii) a slight reduction of the higher frequency components.

A preliminary study by M.O.W. of the probability of occurrence of ground motion of different magnitudes suggests that acceleration of basement rock at this site could reach 0.1g about once per 1000 years on the average and suggests that this is a suitable probability for design of a 200 million dollar investment. The response spectra chosen imply that the effect of the complicated influence of soil and foundation characteristics will result in an amplification of this base rock motion to slightly more than 0.3g at the foundation slab of the station.

The specification calls for:

(i) identification of modes of vibration in the major structures
(ii) estimation of the response of such modes and of the resulting stresses in critical components.

It draws attention to a number of points to be especially watched in connection with low-tuned supports and with thrust bearings, machine anchorages, condenser arrangement, main pipe work, and spring mounted equipment (large and small).

3.6 250 MW Steam Raising Units

Section 4.4 is part of N.Z.E.D. specification for the steam raising units at Huntly.

The specification asks manufacturers to take note of the poor performance of several types of equipment in recent earthquakes and to confirm the earthquake design capability of their structural designers and steam unit designers.

It is appreciated that large, efficiently running organisations in non-earthquake countries may find it hard to introduce earthquake considerations in their design philosophy, but it is expected that the implication of earthquake failures, particularly in the San Fernando earthquake, will, by now, have been appreciated by a wider audience than those immediately concerned.

The specification asks for the design of the structural work to be based on the pseudodynamic methods set out in N.Z.S.S. 1900: Chapt. 8, but with a K factor slightly reduced ($K_a = 0.9$) for fully ductile "moment resisting space frame structures without cross bracings" and a slightly increased ($K_a = 1.2$) for "fully crossbraced structures".

It requires the use of a steelwork design code which recognises fully the requirements for satisfactory plastic hinge performance.

The specification asks for preliminary estimates of overstrength contained in the structure to indicate the accelerations likely to be reached by the top of the structure in minor earthquakes. It asks for preliminary estimates of the natural frequencies of the first modes to help identify which plant items may be subject to double resonance effects. It asks for estimates of accelerations corresponding to the fully yielded condition, to ensure that the problems of designing earthquake resistance into braced structures are fully considered.
The specification asks tenderers to describe their experience in earthquake design using their proposed type of steel, to ensure that the problems of obtaining steels with adequately controlled composition and properties, particularly weldability and notch ductility, are adequately recognised. Such experience would also relate to arrangements for providing the high levels of supervision and inspection necessary to achieve satisfactory quality of design, fabrication and site installation and construction.

Foundation loads are to be stated for normal conditions and for full yielding. This is to ensure that the implications of plastic hinge design particularly at column bases are fully identified.

The successful tenderer is to check the structure for significant modes of vibration. In plant of this nature the main structure is so robust that any modes of vibration other than the first are almost certainly associated with some specific portion of the plant e.g. furnace walls, tube banks etc. and would be dealt with as individual items.

A general indication of the kind of earthquake ground motion which the plant is intended to withstand has been estimated as described in Section 3.5 and set out on drawing 25590, Fig. 10. This includes an estimate for the vertical component of ground motion and tenderers attention is drawn to possible vertical response of suspended items e.g. the main furnace, steam drum, etc.

However, for parts of the structure and for plant and equipment mounted in the structure, seismic factors generally similar to but slightly varying from Table 5B of N.Z.S.S. 1900: Chapt. 8 are set out in Table II of the specification in order to give greater recognition to the dynamic properties of the items concerned, and to take account of the height in the structure at which they are mounted. This to some extent, anticipates the revision of Chapter 8 which is in progress but which is not ready yet.

Attention is drawn to an alternative expression of the formula in Clause 8.36.3.1 for the distribution of total lateral force over the height of the building which appears to be more meaningful namely - the design acceleration of a "part" is equal to the design acceleration of the "whole" multiplied by the ratio of "the height of the part" to "the height of the centre of gravity of the whole". This allows \( K_x \) to be extended to apply to appendages to the main structure at height \( h_x \) above ground thus: \( K_x = N \cdot \frac{h_x}{h} \), \( K_p \)

where \( N \) is a number equal to 1, 2, 3 or 6 according to the dynamic category of the item concerned. Dynamic categories are defined instead of the existing arrangement in Table 5B of listing items by name.

This procedure is expressed graphically in Fig. 11 where it will be noted that the "triangular" distribution of acceleration which is intended for calculating or over-turning moments for the structure as a whole is not applicable to individual parts or appendages fixed to the structure below about mid height. Such items will be subjected to much the same acceleration as objects which are attached to the ground and their design factors are expressed by the factor \( K_p \).

The procedure is the logical extension to "items attached to buildings" of the introduction in 1965 of the "triangular distribution of base shear" for the main structures themselves.

Reports of damage to lift machinery in Wellington 1968 ref (11) and Los Angeles 1971 ref (12) and to building services Alaska 1964 ref (13) make the need for this clear enough.

These rules are only approximate, but they go part of the way in safe-guarding equipment against multiple amplification described in the author's 1969 paper and illustrated in Fig (12) from ref. (22). Some special points are mentioned in connection with boiler internals, pipework, anti-vibration mountings and axial shock loading in horizontal machines.

4. SPECIFICATION CLAUSES FOR EARTHQUAKE RESISTANCE

4.1 Part of N.Z.E.D. Specification for Outdoor High Voltage Circuit Breakers

"EARTHQUAKE PRECAUTIONS"

The circuit-breakers and associated equipment will be installed in areas subject to earthquakes and they shall be designed to withstand, in working order and without excessive displacement, the stresses arising from the following loads:

(a) Weights of component parts
(b) Pressure of working fluid
(c) Reaction of moving parts and all other loads arising during operation up to the rated capacity (including short-circuit current effects).
(d) Pull of connections on terminals, combined with whichever of the following gives the most adverse combinations:
   (i) Wind Loads
   (ii) Earthquake-induced horizontal loads
   (iii) Earthquake-induced vertical loads

Design Loads

The loads used in design calculations shall be not less than the following:

(a) Pull of Conductors
   1000 Newtons horizontally in any direction except for equipment with an interrupting rating less than 1000 MVA when the pull may be 500 N.

(b) Wind Loads
   900 N/m\(^2\) on all cylindrical surfaces
   1500 N/m\(^2\) on all other surfaces acting horizontally in the most adverse direction.

(c) Earthquake-induced Horizontal Loads
   If the equipment offered is of simple construction, having no modes of vibration other than its first in the range 1 Hz to 10 Hz then the earthquake stresses may be determined on the following approximate basis:
A load acting in the most adverse direction equal to the weight of the equipment (or appropriate portion of the equipment) multiplied by a seismic factor. This load may be assumed to act at the centre of mass of that portion of the equipment which is above the point at which stresses are being studied.

For equipment which will fail by fracture of a brittle component the seismic factor shall be not less than 1.5 (but see Note following next paragraph).

For equipment in which failure under earthquake loading is in other than brittle components a seismic design factor not less than .75g shall be used (but see Note).

Note: Where the inherent damping of any item of equipment complete with its supports has been determined, a design factor not less than that given in drawing 25396 (Fig. 2) for the appropriate value of damping may be used.

If, however, the equipment is of complex construction and has two or more modes of vibration within the range 1 Hz to 10 Hz then design loads shall be determined by one of the following methods or a valid equivalent:

(i) Representing the equipment by an appropriate number of masses coupled by springs and dampers and using the method of modal analysis.

(ii) Representing the equipment by appropriate masses coupled by springs and dampers and using methods of direct integration to determine its responses to several earthquake motions having the same general characteristics as are implied by the smoothed averaged acceleration response spectra given in drawing F 9018 (Fig. 3).

(iii) Subjecting a full size or a scale model of the equipment to tests on a shaking table, reproducing the motion of several earthquakes having the same general characteristics as are implied by drawing F 9018 (Fig. 3).

(4) Earthquake-induced Vertical Loads

(Applicable only to components with natural frequencies of vibration between 5 and 20 Hz especially those forming horizontal or sloping cantilevers or beams).

A load acting vertically downwards or upwards at the same time as the normal weight, assumed to act through the centre of mass of that portion of the equipment beyond the point at which stresses are being studied and to be equal to 1.5 times the relevant weight.

Design Stresses & Factors of Safety

In calculations regarding earthquake strength of porcelain or other brittle components, the adopted designed maximum stress shall provide a factor of safety of not less than 2 on a guaranteed falling strength which shall not exceed "the average tested strength less three standard deviations". If actual test results are not available for a statistical determination of strength then higher factors of safety shall be used.

In earthquake strength calculations for other parts of the circuit-breaker normal working stresses shall be used except that where there is clearly little to be gained in making the yield load of a supporting structure much larger than the fracture load of the porcelain, a design may be modified accordingly.

Information and Tests

Tenderers shall state which component or components determine the earthquake strength of the circuit-breaker or other equipment and shall submit a summary of their calculations by which this strength was established. The calculations shall show the design stresses and factors of safety used and the mode of failure to which these are related (e.g. fracture, bending, overturning, failure to operate, etc.). Tenderers shall submit a drawing showing the weight and location of centre of gravity of the principle components of each piece of equipment.

Tenderers shall submit reports on any tests in which damping factors have been established and these shall show the associated natural periods of vibration.

Tenderers shall describe tests or studies they have done or propose to do to prove the earthquake resistance of the equipment offered.

4.2 Part of N.Z.E.D. Specification for Power Transformers

"EARTHQUAKE PRECAUTIONS"

The transformers will be installed in areas subject to earthquake and they shall be designed to withstand in working order a severe earthquake.

The response of a transformer to earthquake movement is determined primarily by the flexibility and damping characteristics of the foundations on which it is mounted. As these vary according to local conditions the transformers shall be designed to withstand, without normal working stresses being exceeded, the following nominal earthquake loads in addition to loads present during normal operation:

(a) For main structural components including under-carriage, wheels and anchoring attachments, a horizontal load acting in any direction corresponding to its own weight multiplied by a seismic design factor of 0.75, acting through the centre of gravity of the transformer and separate associated radiator bank if provided.

(b) For all appendages to the main tank and separate associated radiator bank including radiators, conservator tanks, pipework and electrical bushings but excluding Buchholz relays:

(i) A horizontal load acting in any direction and corresponding to a seismic design factor of 1.5.

(ii) A vertical load, i.e., a load acting downwards added to the weight of the equipment or its components and equal to the weight multiplied by a seismic design factor of 1.

(c) Buchholz relays or any other device arranged to trip the transformer from service automatically whether mounted on the transformer or elsewhere shall not falsely operate when subjected to a sinusoidal vibration producing a maximum acceleration up to 2 g at any frequency between 1 and 10 Hz.

Note:

(i) The horizontal and the vertical earthquake loads may be considered separately not simultaneously.

(ii) The following loads normally allowed for in the design of transformers need not be considered as acting at the same time as the earthquake design loads: vacuum load, transport shock and vibration, wind load (if applicable).

Special consideration shall be given to fastening the core and other components inside the tank and
Tenderers shall confirm specifically that the core will not be able to move within the tank and cause damage to connections, bushings, and other components.

Each transformer, when mounted in its normal service position as shown on the job specification drawings, will be tied down to the rails on which it stands to prevent relative horizontal or vertical movement between the transformer and the rails under earthquake shock. Four or more suitably positioned attachment points shall be provided on the transformer tank and also on the frame of any separate associated radiator bank. Removable links shall be provided to connect between these attachment points and suitable positions on the rails which will be British Standard 851b flat bottom. The links shall incorporate provision for adjustment in length to ensure that any transformer and/or separate associated radiator bank can be interchanged with any transformer and/or separate associated radiator bank of the same size supplied under the same contract, and for tensioning to eliminate shock in joints. Drilling of the rail web for the attachment of these links will be acceptable. Links securing separate associated radiator banks to the rails shall be fastened so that vertical movement of such radiator banks relative to the rails is prevented but small horizontal movements can take place. Struts or other suitable couplings shall be provided between the transformer tank and the mounting frames of separate associated radiator banks. These struts shall be so placed and sufficiently strong to prevent relative horizontal movement between the transformer and separate associated radiator banks which would damage the oil pipe flexible connections.

Tenderers shall in their tender:

(a) State which component or components determine the earthquake strength limits of the transformer and shall include a summary of the calculations by which this strength was established.

(b) Include a drawing showing the weight and location of centre of gravity of the major components of the equipment.

(c) Include evidence that Buchholz relays and other tripping devices comply with the vibration requirements.

"Buchholz Relays"

The recommended relays are A.S.P.E. type SOS-EE-DR (aseismic version) with fixed reed contacts and moving magnets, and fitted with a test-cock threaded 1/4 in BSP for air-injection testing of the trip-switch. Type tests are not required for these relays, which are supplied by:

A.S.P.E.
Zingone di Tressano (sul Naviglio),
(Milano)
Via Porzolo Sala 23,
Italy.

Any alternative Buchholz relays offered must not operate due to movement of the transformer resulting from earthquake forces. Tenderers shall give full details of these alternative relays in their tender including type tests proving that maloperation of the relays will not occur up to the limits specified above. Magnetically-operated dry reed contacts are preferred.

4.3 Part of N.Z.E.D. Specification for 250 MW Turbo-generators

"EARTHQUAKE DESIGN"

Resistance to Earthquakes

The equipment supplied under this Contract shall be designed to have substantial resistance to earthquakes. This will require allowance in the design of the plant, its components and supporting structures, for seismically induced accelerations ranging from 0.3 g to 1.0 g or more depending on:

(a) natural period and mode of vibration
(b) damping (inherent or specifically provided)
(c) manner of failure (ductile or brittle)
(d) importance (consequences of failure)

Design Earthquake Motion

It is desired that the plant shall be capable of surviving without major damage a ground motion implied by the single body response spectra given in drawing Z 5590 (Fig. 10).

Vibration Modes

Tenderers shall therefore identify, for the "As Installed" condition of all major equipment offered, any modes of vibration which have a frequency less than 10 Hz horizontally in any direction and less than 15 Hz vertically.

They shall estimate using the response spectra provided or by other equivalent method agreed upon with the Engineer, the acceleration response induced in each such mode by the defined earthquake motion, and the corresponding maximum stresses in those parts or components which are critical for continued operation or for structural integrity.

Direction and Combination of Earthquake Loads

Loads corresponding to the horizontal response shall be considered as acting in any horizontal direction and those corresponding to the vertical response shall be considered as acting either upwards or downwards in addition to normal weight.

For this Contract the two horizontal and the vertical components of earthquake load may be considered non-simultaneously but manufacturers whose facilities and experience are sufficiently sophisticated, are invited to comment on any likely adverse combinations of these loads in an actual earthquake situation when all three components of the earth's motion act simultaneously.

Design Stresses

Stresses caused by the specified earthquake loads shall be combined with those occurring in normal operation and the resulting maximum stresses shall:

(a) be less than one third of the ultimate strength of brittle materials or non-ductile types of construction

(b) preferably be less than yield stress in ductile materials.

Ductility

If the maximum stresses calculated assuming linear stiffnesses in structures and components exceed yield stresses, the equipment may still be acceptably earthquake resistant if it is capable of repeated ductile yielding in alternate directions with displacement up to 4 or more times those at yield point provided that such yielding:

(a) does not damage rotating parts
(b) does not damage other vital components such as bearings, seals of any precision-machined parts, or highly stressed components
and that such damage as may occur is repairable with ordinary equipment and material in a reasonably short time.

Special Requirements

In applying the foregoing requirements, Tenderers shall take particular care regarding the following -

Low Tuned Supports

If the supports for the turbo-generator have a stiffness which gives a natural frequency of vibration in the vicinity of 3 to 5 Hz and the inherent damping is found to be about 1% of critical, then the response of the turbo-generator to the specified earthquake would be approximately 1.5 g with a supporting structure which remains elastic.

Tenderers are invited to consider the following methods of designing an installation which will survive the design earthquakes without experiencing such high accelerations:

(a) if the steel support columns are properly detailed to provide adequate ductility then support columns which start to yield when the induced acceleration in the machine platform reaches 0.3 g would be acceptable. They would limit the machine acceleration to 0.4 or 0.5 g. (See "Equipment mounted on T/G Table").

(b) if the flexibility is provided by steel coil springs the addition of hydraulic dampers to provide 10% of critical damping would reduce the response to about 0.75 g. With 20% of critical damping the response would be about 0.5 g.

(c) if a support structure for the turbo-generator designed to give an "as installed" natural frequency of not less than 10 Hz or preferably 12 Hz in any horizontal direction is compatible with the machine design, it would almost eliminate earthquake amplification and would require less rigorous detailing for ductility. A design reaching yield point at 0.3 g would survive the design earthquake elastically and would subject the machine to little more than 0.3 g maximum acceleration.

Ductility in Turbo-generator Supports

Adequate ductility in the support columns requires that -

(a) the columns must be capable of forming plastic hinges at the top and the bottom, which will have sufficiently good low-cycle fatigue performance to allow the turbo-generator and its platform to be displaced through 20 or more cycles of amplitude ± 5 or 6 times the displacement at initial yielding.

The displacement at yield is given by the formula:

\[ d = \frac{9800a}{(2\pi f)^2} \]

Where:
- \( d \) = displacement at first yield (mm)
- \( a \) = induced acceleration at first yield (fraction of g)
- \( f \) = natural frequency of horizontal vibration of the turbo-generator on its columns (Hz)

Thus:

<table>
<thead>
<tr>
<th>( f ) (Hz)</th>
<th>( d ) (mm)</th>
<th>Max. Displacement defining low-cycle fatigue performance</th>
</tr>
</thead>
<tbody>
<tr>
<td>5</td>
<td>3</td>
<td>15 mm to 20 mm</td>
</tr>
<tr>
<td>3</td>
<td>8</td>
<td>40 mm to 50 mm</td>
</tr>
</tbody>
</table>

To achieve this performance the columns should be designed in accordance with a recognized steelwork code such as the A.I.S.C. Code 1969 which in Section 2.7 page 5-60 defines the limits of flange and web thickness in rolled steel sections necessary for adequate plastic hinge action.

(b) the end fixings of each column must be capable of withstanding the full plastic moment which the column section is capable of developing in any direction.

(c) the detailing of the column ends must ensure that in the plastic hinge regions there are no notches, holes or other local area reductions which can cause concentration of yielding and premature low-cycle fatigue failure. There must be no welded attachments to columns in plastic hinge areas.

(d) the steel used in the columns must have a well-defined and reasonably uniform yield characteristic.

Appropriate tests on material and inspection of workmanship shall ensure that the specified ductility is retained after fabricating the welding is completed.

(e) the combined elastic stiffness and as far as possible the combined plastic stiffness of the support columns of a generating unit shall be effective through the centre of mass of the unit in order to minimize torsional vibrations.

(f) all pipework connected to the turbine or generator and all connections to nearby structures of any sort shall be capable of accommodating displacements of the order mentioned in (a) above.

Equipment mounted on T/G Table

It is desired that all equipment mounted on the T/G table should be capable of withstanding the defined earthquake as amplified by the table with a factor of safety of at least two on its yield strength.

The probable maximum table top accelerations for the different design types mentioned can be summarised thus:

<table>
<thead>
<tr>
<th>Type of Design</th>
<th>Lowest Frequency of Vibration</th>
<th>Probable Maximum response to design earthquake (fraction of g)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stiff structure</td>
<td>above 10 Hz</td>
<td>0.3</td>
</tr>
<tr>
<td>Plastic Column</td>
<td>3 to 5 Hz</td>
<td>0.4 to 0.5</td>
</tr>
<tr>
<td>Coil Springs 20% damped</td>
<td>3 to 5 Hz</td>
<td>0.5</td>
</tr>
<tr>
<td>Coil Springs 10% damped</td>
<td>3 to 5 Hz</td>
<td>0.75</td>
</tr>
<tr>
<td>Coil Springs undamped</td>
<td>3 to 5 Hz</td>
<td>1.5</td>
</tr>
</tbody>
</table>

Thrust Bearing

The thrust bearing will be required to transmit to the entire rotating mass of turbine and generator the design accelerations appropriate to the type of support structure chosen and it is desired that they shall be capable of doing so without being damaged. These longitudinal seismic forces will be rapidly reversing at roughly the frequency of the structure and it is desirable that end play in the thrust bearing should be kept to a minimum to avoid increased forces due to shock loading.

Turbine Casing and Generator Stator Fixings

The same accelerations of the T/G table shall be withstood, without damage by the guide keys, anchor bolts,
etc. which secure the Turbine and Generator to the support table.

Condenser

A condenser solidly coupled to the turbine exhaust flange would be subject to the same accelerations and manufacturers may find it desirable to mount the condenser on the ground and provide a flexible connection capable of accommodating the amount of displacement appropriate to the support design. (See Ductility in Turbo-Generator Supports.)

Main Pipework

The main pipework (connecting turbine and boilers etc.) will be subject at each termination and intermediate point of restraint, to the random motion of any earthquake modified by the dynamic characteristics of each supporting structure.

Adequate estimation of the likely effects of such shaking requires highly sophisticated analysis methods based on estimated ground motions (supplied by the purchaser). Tenderers shall state whether their pipework analysis methods include facilities for dynamic analysis and if so what would be the cost of such analysis for the most highly stressed main steam pipework.

Where such analysis is not available or not recommended it will be necessary either to adopt the crude static force approach of the earthquake codes and assume seismic design forces equal to, the weight of the components or to provide spring loaded guides and restraints equipped with hydraulic dampers designed to provide up to 30% or 40% of critical damping.

Tenderers should note:

(a) that dynamic studies carried out by the purchaser in other fields indicate that a high degree of damping is very effective in reducing earthquake response

(b) that the purchaser has already in one of its steam power stations some hundred of such dampers provided for damping normal vibrations and sees great advantage in their use for earthquake reasons.

Other Pipework

Other pipework in the station cannot be individually analysed for dynamic behaviour but the following points shall be taken into account in design:

(a) Pipework shall contain no brittle fittings or components unless it can be shown, to the satisfaction of the engineer, that pipework attached to such components is not inherently strong enough to apply damaging moments or forces or is adequately restrained by suitable supports. Such items would include: cast iron valve bodies, pump bodies, heat exchanger water boxes, strainer bodies, etc.

(b) The flexibility provided for thermal expansion reasons usually permits large and violent displacement response during earthquakes, and steps must be taken to prevent such lengths of pipe from damaging themselves or adjacent equipment.

Equipment on Vibration Isolating Mountings

Flexible mountings for vibration isolation frequently bring the natural vibration frequency of plant items below 10 Hz giving them a very large acceleration response to earthquakes.

If the special mounting is to prevent vibration from the plant item from shaking its support structure, then excessive response to earthquakes can be prevented by the addition of stops to limit displacement provided:

(a) the stops are made of ductile material
(b) the portions of the plant item that hit the stops are of ductile material
(c) all parts of the plant item can withstand the shock loading.

If the special mounting is to prevent outside vibration reaching the plant item (for example on instrument panel) then

(a) the stiffness of the mounting should be increased to raise the natural frequency of the plant item to well above 10 Hz (say 15 Hz), (if this does not reduce its effectiveness) or

(b) additional damping must be provided to bring the effective equivalent damping to 30% or perhaps 40% of critical (provided this does not unduly reduce the normal isolation effectiveness), or

(c) select plant items which are shock resistant.

Steam Turbo-Feed Pump

If the low tuned mountings for the turbo feed pump have an "as installed and connected up" natural frequency above 10 Hz they can be regarded as non-amplifiers of earthquake motion and ordinary design procedures using a seismic factor of .33 will be acceptable.

If these mountings are required to have a natural frequency in the 3 to 5 Hz range then their response to the design earthquake could be between 0.5 and 1.0 g (depending on the effective damping) unless support columns are designed and detailed to form plastic hinges top and bottom and give a yield point acceleration of about 0.3g and maximum acceleration of 0.4 to 0.5 g.

Thrust bearings would need to be designed to withstand accelerations, as indicated, for the chosen support design. It must be noted that these would be rapidly alternating in direction and would be augmented by the presence of end play.

Condensers for this size of turbine would presumably have adequate strength for any of these accelerations even though bolted directly to the turbine exhaust. Cooling water and other pipe connections would have to allow for total earthquake movement of turbine and pump not less than the following:

<table>
<thead>
<tr>
<th>Design of Supports</th>
<th>Natural Frequency design Earthquake (Nz)</th>
<th>Response to Earthquake</th>
<th>Displacement at Yield</th>
<th>Maximum Displacement in design earthquake</th>
</tr>
</thead>
<tbody>
<tr>
<td>Elastic</td>
<td>10</td>
<td>0.33</td>
<td>-</td>
<td>0.8 mm</td>
</tr>
<tr>
<td>Elastic (2% damping)</td>
<td>5</td>
<td>1.0</td>
<td>-</td>
<td>10 mm</td>
</tr>
<tr>
<td>Plastic (.3 g. yield)</td>
<td>3</td>
<td>1.0</td>
<td>-</td>
<td>27.5 mm</td>
</tr>
<tr>
<td>Plastic (.3 g. yield)</td>
<td>5</td>
<td>-</td>
<td>3</td>
<td>15 to 20 mm</td>
</tr>
<tr>
<td>Other Parts of the Plant</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

|                       |                                           |                        |                     |                                           |
| All parts of the plant not required to be mobile shall be securely fastened in place. |

Seismic force (Fs) for the design of miscellaneous plant items and their fixings shall be not less than those given below provided that no stress calculated from the action of the seismic force shall be reduced by considering the downward action of more than half of the deadweight.

The values of Cs in the equation -
4.4 Part of N.Z.E.D. Specification for
250 MW Steam Raising Units

"EARTHQUAKE DESIGN

Site Earthquake Condition

The site is subject to seismic disturbances and all parts of the plant essential to safe and satisfactory operation shall be designed and installed to have a substantial degree of resistance to the violent and irregular shaking to which they may be subjected in earthquakes.

\[ Fs = Cs \times Ws \]

where \( Fs \) = Seismic Force
\( Cs \) = Seismic Force Factor
\( Ws \) = Weight of part or item

shall be -

(a) for plant items robustly constructed from ductile materials and mounted on firm foundations near ground level:

- \( Cs \) not less than 0.33

Examples - Fans, pumps, motors, control panels, or cubicles, batteries, transformers, switchgear, tanks, receivers, containers.

(b) for plant items mounted on or near ground level on elevated or otherwise slightly flexible supports having a natural frequency of vibration of less than 10 Hz:

- if the support structure and/or the plant item is thoroughly detailed for ductility and ductile yield does not cause failure to function or damage to adjacent or connected equipment

- \( Cs \) not less than 0.33

Examples - Tall tanks or containers supported on narrow base or foundation, feed heaters, transformers or other heavy items supported on raised plinths or stands.

- if the plant item or its support structure would fail under earthquake loading in a brittle or non-ductile manner then \( Cs \) shall be as read from drawing number 25590 (Fig. 10) for the appropriate natural period and damping. In addition the design shall provide an ultimate fracture strength or crippling strength of not less than three times the seismic loading.

Examples - items with critical components made of glass, porcelain, unreinforced ceramics, non-ductile cast iron or cast aluminium

- items with strain concentrating notches in plastic hinge areas e.g. threaded pipe joints

- braced support structure not fully cross-braced i.e. having single braces which would fail in compression.

(c) for equipment mounted on or coupled to the turbo-generator support slab, care shall be taken to ensure that the plant item as installed, has no natural frequency of vibration close to those of the turbo-generator slab and which are below about 10Hz - if this condition is met \( Cs \) shall correspond to the momentary maximum accelerations estimated for the particular design of T/G support structure (clause "Special Requirements").

Examples - Fans, motors, pumps, switchgear, control panels, tanks, pipework.

Earthquake Experience

Tenderers shall state whether any plant and equipment similar to that offered has been subjected to any severe earthquake - if so what was its size and distance to the epicentre, what damage was caused and what design lessons were learned.

Earthquake Design Code

Seismic design of the main steam raising units and their support structures shall be based on New Zealand Standard Building Bylaw "Basic Design Loads" N.Z.S.S. 1900: Chapter 8 (1965) and Amendments No. 1, 2 and 3 and on "Commentary on Chapter 8 of N.Z.S.S. 1900" MP12 (1965) and its amendments - insofar as these two documents are applicable.

Design Procedure

The total lateral force \( V = K_C W_t \) shall be distributed over the height of the structure in accordance with the so-called "triangular distribution" specified in clause 8.36.1.1 or 8.36.1.2, in order to determine shear loads, overturning moments and individual member loads.

In determining the total horizontal seismic force \( V = K_C W_t \), in accordance with clauses 8.36.1.1 or 8.36.1.2 for designing the steam raising units and their support structures, the value of \( C \) shall be taken as .167. \( C \) shall not depend on the natural period of the structure because the ground response spectra at the Huntly site are believed to have peak values in the range of periods which are likely to occur in boiler structures of this size.

The value of \( K_C \) (normally taken from table 5A) shall be taken from Table I of this document.

Special Seismic Factors

For the "moment resisting space frame" design the lower seismic coefficient (\( K_C = .15 \)) reflects the better authenticated earthquake resistance of this type of design provided adequate attention is given to design detail and quality control of materials, manufacture and installation.

The higher seismic coefficient (\( K_C = .2 \)) is specified for the braced design because of the greater uncertainty of providing energy absorption through plastic yielding and the less well authenticated energy absorption of high tensile bolted joints.

If a braced design is offered each braced panel shall
be fully cross braced – so that the crippling of a member in compression does not remove all the bracing effect from that panel. An exception would be a panel in which bracing members in compression do not fail even when all other panels in the structure have reached their maximum post-yield strength.

Steelwork Design

Member sizes and connection details shall be carefully chosen to provide satisfactory ductile and low cycle fatigue performance capable of surviving repeated alternating deflections up to several times those corresponding to first yield.

Tenderers shall use a recognised steelwork design code which covers adequately not only the plastic properties of steel sections necessary for ultimate strength design, but in addition the plastic hinge properties necessary for earthquake resistance in "ductile moment resisting space frames". The "Steel Construction Manual 1969" and related publications of the American Institute of Steel Construction would be appropriate.

Over Strength

Tenders shall include preliminary estimates of:

a) the maximum acceleration experienced at the top of the structure when vibrating in its first mode at an amplitude which would cause stresses to reach yield point

b) the natural frequency of the first mode of vibration

c) the probable maximum acceleration of the top of the structure corresponding to the fully yielded condition of the structure.

Note: This information is required for each major axis of the structure: these estimates may be based on a "straight line" mode shape if more sophisticated methods are not available at this stage.

the "probable actual" yield stresses of the proposed steel shall be used not the "specified minimum".

foundation flexibility need not be taken into account at this stage.

for braced structures the maximum acceleration would correspond to structure forces when bracings in compression are at their crippling load

this information is required to indicate the extent of over strength effects on the upper portions of the plant in minor earthquakes (i.e. at first yield) and in larger earthquakes.

Tenderers shall state what type of steel they intend to use and what experience they have had in using it for earthquake resistant designs.

Foundation Loads

Foundation loads shall be calculated for normal loads and also for the combination of normal loads with those earthquake loads (larger than the basic design loads) which correspond to full yielding. These shall be stated separately for each direction in each major axis of the structure.

The successful tenderer shall check these estimated values and shall check whether the completed installation has significant vibration modes below about 10 Hz and whether these require any alteration in the design.

Seismic design factors for miscellaneous plant items and equipment and special points to be noted in earthquake design are set out below.

Earthquake Response Spectra

The foregoing rules present a pseudo-dynamic approach to earthquake resistance. Assistance on doubtful points may be sought from the single body response spectra which have been estimated from preliminary site investigations and are shown on drawing Z 5590 (Fig. 10).

Vertical Component

This drawing includes an estimate for the vertical component of earth shaking and although this does not usually present problems, tenderers shall consider whether their normal practice in providing vertical support for furnace walls, steam drums, etc. would provide adequate margins of ductile behaviour in the presence of a vertical component of the size and nature suggested by this estimate.

Seismic Design Factors for Portions of Structure and Miscellaneous Plant and Equipment

The following requirements are applicable to items of plant and equipment not in the category of "building structures", whether mounted on their own foundations at or below ground level or supported as accessories on a major structure provided for another purpose.

- also to portions of the plant dynamically part of a major structure, but where fixings to the structure must be given special attention (e.g. steam drum, furnace wall, tube banks of the steam raising equipment).

These requirements are derived from Table 58 under the provisions of clause 8.36.1.6 of N.Z.S. 1900; Chapt. 8: 1965 by making more detailed allowance for the following factors:

- height above ground at which equipment is mounted in support structure

- degree of rigidity or flexibility in the equipment or its supports

- degree of ductility which is available or is acceptable in the equipment or its supports

- degree of damping which exists or is introduced into the equipment or its supports.

Design Procedure

Seismic forces for the design of parts or portions of the main structure including miscellaneous plant items and their supports and fixings shall be determined from the following formulae (which are adaptations of clauses 8.35.1, 8.36.2.1 and 8.36.3.1):-

\[ F_S = K_B C W_x \text{ or } F_x = K_x C W_x \text{ whichever is the greater} \]

and \( K_B \) and \( K_x \) shall be not less than given in Table II.

Where a "working stress" design procedure is used these loads shall be combined with normal and other appropriate loads (except wind) and used in conjunction with appropriate "working stresses" to determine sizes.

Where an ultimate strength design procedure is used appropriate load factors shall be applied to these loads before combining them with other factored loads to determine the required ultimate strengths.

For non-ductile items, a factor of safety of...
three on ultimate strength shall be provided.

For fastenings and supports of equipment fastened by its base, calculated forces resisting overturning shall not be reduced by the counter action of any more than half of the deadweight of the equipment concerned.

Definition of Symbols

\[ F_s \text{ or } f_s \] - Horizontal seismic design force

\[ W_s \text{ or } W_x \] - Weight of portion or plant item

\[ C \] - Basic seismic coefficient = 0.167 for Power Stations unless otherwise stated.

\[ K_B \] - Seismic force factor for item with weight \( W_x \) mounted at ground level or in lower part of structure (as Table 5B unless otherwise specified in Table II hereof).

\[ K_x \] - Seismic force factor for item with weight \( W_x \) mounted in upper part of structure (as implied by clause 8.35.1 unless otherwise specified in Table II hereof).

\[ K_A \] - Seismic force factor related to type of structure (as Table 5B unless otherwise specified in Table I hereof).

\[ h_x \] - height above ground or base of structure at which item with weight \( W_x \) is mounted.

\[ h_{cg} \] - height above ground or base of structure at which centre of gravity of structure with total Weight \( W_t \) is located.

NOTE: From formulae in clauses 8.36.3.1, 8.36.1.1,

\[ f = V.W.s/h_x/K_x \text{ or } f = V.W.s/h_{cg}/K_x \text{ and } V = K_A C W_t \]

and noting that \( h_{cg}/W_t = h_x \) it is evident that

\[ f_x = K_A \left[ h_x/h_{cg} \right] C.W_0 \text{ Thus } K_x = \left[ h_x/h_{cg} \right] K_A \] for the basic case in Table II namely "Rigid Item Rigidly Mounted".

Categories of Structure Portions and Plant Items

"Rigid item rigidly mounted" - An item which together with its mounting is so rigidly coupled to its foundation or to the main structure that it has no mode of vibration with a frequency less than 10 cycles/second.

"Flexible item or flexibly mounted item" - An item where its own flexibility or that of its mounting or of the combination gives a mode of vibration with a frequency less than 10 cycles/second.

"Ductile Item" - A plant item which by its own ductility or that of its support or fixings is able to accept repeated deflection beyond yield point without significant impairment of its safety or its ability to function, and without damage to other items connected to it or adjacent to it.

"Limited Ductility Item" - Much equipment and many structures constructed of non-brittle material by ordinary design methods may not be specifically detailed for ductility, but may nevertheless be assumed to have considerable earthquake resistance.

"Non-ductile Item" - A plant item and its supports or fixings, in which failure load is determined: by the brittle characteristics of the material of which is constructed or by its dependance on precisely machined dimensions for its continued functioning or by "crippling" of a compression member and is therefore not able to accept repeated deflections beyond yield point.

An item which in normal operation is highly stressed may fall in this category if yielding under earthquake loading results in poor low cycle fatigue performance because of the combined stressing and yielding.

SPECIAL POINTS IN EARTHQUAKE DESIGN

Steam Raising Units

The boiler drums, headers, waterwalls and roofs of furnaces, tube banks which are supported with due allowance for thermal expansion shall also be restrained in each horizontal direction so that they will follow the earthquake induced movements of the main structure with a minimum of backlash.

The required guides and restraints shall be designed using factors chosen from Table II in accordance with the appropriate stiffnesses and ductilities which can be built into them. It is likely that class III or IIF would be appropriate. Note that these depend on the type of structure and the height of its centre of gravity.

Main Pipework

Main pipework (connecting turbine, boiler, etc.) will be subject at each termination and intermediate point of restraint, to the random motion of any earthquake modified by the dynamic characteristics of each supporting structure.

Adequate estimation of the likely effects of such shaking requires highly sophisticated analysis methods based on estimated ground motions (supplied by the purchaser). Tenderers shall state whether their pipework analysis methods include facilities for dynamic analysis and if so what would be the cost of such analysis for the most highly stressed main steam pipework.

Where such analysis is not available or not recommended, it will be necessary either to adopt the crude static force approach of the earthquake codes and assume seismic design forces equal to, say, the weight of the components or to provide spring loaded guides and restraints equipped with hydraulic dampers designed to provide up to 30% or 40% of critical damping.

Tenderers should note:

1) that dynamic studies carried out by the Department in other fields indicate that a high degree of damping is very effective in reducing earthquake response

2) that the Department has already in one of its steam power stations some hundreds of such dampers provided for damping normal vibration and sees great advantage in their use for earthquake reasons.

Gas and Oil Pipework

All pipework carrying gas or oil shall be carefully detailed to ensure that

- there are no brittle fittings, valves or equipment bodies which are not capable of developing the full bending strength of the pipe or are not protected from being subjected to such bending.

- movement of the soil outside the foundations cannot cause fractures to oil or gas pipework inside the building.
General Pipework

Other pipework in the station cannot be individually analysed for dynamic behaviour, but the following points shall be taken into account in design:-

1) Pipework shall contain no brittle fittings or components.

2) the flexibility provided for thermal expansion reasons usually permits large and violent displacement response during earthquakes and steps must be taken to prevent such lengths of pipe from damaging themselves or adjacent equipment.

Equipment on Vibration IsolatingMountings

Flexible mountings for vibration isolation frequently bring the natural vibration frequency of plant items below 10 Hz giving them a very large acceleration response to earthquakes.

If the special mounting is to prevent vibration from the plant item from shaking its support structure then excessive response to earthquakes can be prevented by the addition of stops to limit displacement provided -
the stops are made of ductile material
the portions of the plant item that hit the stops are of ductile material
all parts of the plant item can withstand the shock loading.

If the special mounting is to prevent outside vibration reaching the plant item (for example an instrument panel) then

a) the stiffness of the mounting should be increased to raise the natural frequency of the plant item to well above 10 Hz (say 15 Hz) (if this does not reduce its effectiveness) or

b) additional damping must be provided to bring the effective equivalent damping to 30% or perhaps 40% of critical. (provided this does not unduly reduce the normal isolation effectiveness) or

c) select plant items which are shock resistant.

Axial Loads in Rotating Machinery

Tenderers shall note that earthquake motion can cause very high shock loadings where horizontal shaft rotating machines have endplay of the order of 10 to 20 mm. Plant supported on flexible structures would be particularly susceptible. Tenderers shall include comment on this point in respect to major plant items e.g. fans and motors.

5. INTELLECTUAL OBSTACLES IN SEISMIC DESIGN

In its endeavours to have earthquake effects recognised as dynamic phenomena, the Department has encountered many problems both practical and intellectual of which some have proved almost as difficult as trying to change a person's religion. Some of these are:-

5.1 "Earthquakes are for Civil Engineers"

This view is remarkably widespread and it is only in recent years that the mounting toll of earthquake damage to electrical and other plant and equipment has begun to reveal the fallacy.

Novoa 1970 (14) and Beutler 1970 (15) in Chile along with Brown Boveri 1970 (15) in Switzerland were some of the earliest to bring adequate theoretical understanding of earthquake effects on electrical equipment.

The Japanese Electrical Industry, though a little later starting have, with their typical thoroughness, devoted immense resources to research and development. The major manufacturers have equipped themselves with electrohydraulic shaking tables capable of applying earthquake type motion to full size equipment. Japanese manufacturers (16) (17) for example, describe the detailed and thorough development and testing which went into their 500kV circuit breakers and their SF6 insulated metalclad switchgear. Their Telecommunications industry has carried out extensive studies such as (18) and very detailed work has been done on steam boilers (19) (20) (21).

The safety requirements for the electrical equipment of nuclear reactors have brought the immense research resources of the American electrical industry onto earthquake effects on electrical equipment. One manufacturer (22) (23) describes the high amplification of earthquake motion in plant mounted high in buildings and give details of proof tests using "sine beat" pulses. Others (24, 25) describe other methods of testing for earthquake resistance.

The disastrous consequences of the San Fernando 9 February 1971 earthquake have stimulated the interest of the electrical industry still further.

The author believes that in New Zealand the teaching about earthquakes is confined mainly to civil engineers.

5.2 "f = m.a What's so difficult about that?"

As a consequence of the above-mentioned fallacy, a great many people see that "there is no difficulty about earthquake design" so they take the acceleration that the civil engineers use, apply it to their structure or equipment, uniformly all over, and confidently assure you "we design for earthquakes". The disastrous outcome of such design methods in the San Fernando earthquake has yet to become widely known amongst those for whom earthquakes are still civil engineering matters. The history of engineering technology shows that it often takes several disasters to alter a widely held view.

The equation $f = m.a$ is, of course, used to write the differential equation of motion of a flexibly mounted mass subjected to earthquake motion.

Force acting on mass at any instant = mass $x$ absolute acceleration = force due to damping effect + force due to spring deflection i.e. $m(\ddot{x} + \dot{y}) = -Cx - kx$

where $\ddot{x}$ = acceleration of the mass with respect to the ground

$\dot{x}$ = velocity of the mass with respect to the ground

$x$ = displacement of the mass with respect to the ground

$\ddot{y}$ = acceleration of the ground

$\dot{x} + \ddot{y}$ = absolute acceleration of the mass

$C$ = damping constant (multiplied by velocity gives damping force)
k = spring constant (multiplied by
displacement gives spring force)

(minus signs come from definitions of positive
directions)

Using \( C = NC_c \) where \( C_c = \text{critical damping} = 2 \sqrt{cm} \)

\[ \frac{N}{\omega} = \text{fraction of critical}
damping
\]

and \( \omega = \text{natural frequency (undamped) of mass}
and spring
\]

\[ = \sqrt{K/m} \]

the equation can be rewritten \( \ddot{x} + 2N\omega \dot{x} + \omega^2 x = -\ddot{y} \)

If \( \ddot{y} \) is the time history of the ground acceler­
ation of an earthquake the equation can be solved
by computer to find either the time history of \( \dot{x},
\dot{x} \) and \( x \) or to find their maximum value which gives
maximum load on the spring supporting the mass.

Complex structures, represented by multiple
masses, springs and dampers require a differ­
etial equation for each mass. These are
expressed most conveniently by matrix methods
and can be solved simultaneously by computer.

A thorough familiarity with these principles
and their development in structural mechanics
is essential to any real understanding of
earthquake problems. A useful introduction is
Biggs (26).

5.3 "Let \( f \) be the earthquake frequency ...."

This marks the approach of the experienced
mechanical engineer trying to apply his
knowledge of sinusoidal vibration to earthquake
design without comprehending the difference
between the narrow peaked response spectra for
sine wave excitation and broad, irregular
peaked response spectra for earthquakes. One
of the world's largest manufacturers presented
a paper at one of the world's largest conferences
on earthquake protection for one of the world's
most expensive plants, and the recommendations
were technically invalid. The author hopes
this idea has not been used.

5.4 "Get the manufacturer to advise"

In many fields especially in electrical
engineering, purchasers of plant can place
great reliance on advice given by manufacturers
because of the great resources in experience
and research which lie behind it.

In earthquake engineering, it has been a
long struggle to get manufacturers to present
even quite elementary calculations of earthquake
resistance. This is why the Department's
specifications have until recently had only the
most elementary earthquake requirements.

Now, fortunately, as a result of the increa­
sing number of reports of seismic damage and of
the increasing number of purchasers requiring
high earthquake resistance in their equipment,
the sophistication of manufacturer's methods
has increased substantially. As a result, more
sophisticated clauses have been added to the
switchgear specification and more items have
been defined in terms of natural frequency of
vibration which of course assumes that there
is a familiarity with structural dynamics.

The training of N.Z.E.D. staff to a level
of understanding of earthquake response at which
they can effectively administer earthquake
clauses is a considerable undertaking still
not completed.

5.5 Factors of Safety

Perhaps the most universal obstacle to
understanding a seismic design is the concept
of Factor of Safety. This is, of course, a
fundamental part of a great many design
philosophies - a "design load" or "design
condition" is assessed and a "design stress"
is used to determine "size" or other "property"
of the structure or object. In addition,
steps are usually taken to ensure that the
"design load" or "condition" are not exceeded.

Electrical engineers, for example, have
an arsenal of protective measures, ranging
from fuses, surge diverters and circuit breakers
to sophisticated protective relays which ensure
complete removal from service of any part of the
system which has been taken beyond its
design conditions.

Mechanical engineers often use very large
factors of safety or resort to safety valves,
fusable plugs, shunt trips, overcurrent
break-links, overspeed trips, and even control
systems to control the maximum "load" applied.

Civil and structural engineers are brought
up on Factors of Safety and even in "Plastic"
or "Ultimate Strength" design, design loads
are "multiplied by a factor" instead of
stresses being "divided by a factor."

Thus it is not at all surprising that the
basic concept of designing for ductility to
achieve resistance to earthquakes is not well
understood outside a limited circle of earth­
quake engineering experts.

It is still not uncommon for a civil
engineer to refer to the spectrum-like graph
which defines the basic seismic coefficient
namely Fig. 1 of NZSS 1900: Chapt.8, as "the
earthquake for which we are designing!". This
is erroneous in two ways

SANE MPI2: Commentary on Chapter 8 makes
it clear that Chapter 8 is intended to make
provision for earthquake ground motions in the
range 0.25 to 0.4g (approximately M.M.9).

A "design spectrum" such as Fig. 1 is
not a response spectrum defining an earthquake
motion - it is what is left of a set of
response spectra after being severely reduced
in anticipation of yield damage by
Kasiraj and Yao (27).

6. CONCLUSION

This paper has reported N.Z.E.D. involvement
in earthquake resistant design over the last four years and implied that in a small way it has made some contribution to the art. It has outlined the enormous growth of research and development in all parts of the field, especially the electrical sector under the joint pressures of nuclear safety requirements and earthquake damage to major electrical plant.

It has indicated the wide range of earthquake problems encountered in electric power system work and the very different examples quoted emphasise that there can be no comprehensive specification covering all equipment - unless it be of library proportions.

Some of the difficulties encountered have been described, but the greatest is perhaps best summed up by Professor P. C. Jenning's remark at a meeting of the N.Z. Society for Earthquake Engineering - "Codes are for non-engineered structures". This is delightfully provocative, but if you take his point correctly you will find " - that you just can't afford to engineer from first principles your bread and butter design work".

This is a point of great importance to electrical and mechanical engineers seeking guidance on earthquake resistance for their peculiar kinds of structure - no matter how experienced a civil engineer may be in applying earthquake codes, if he has not acquired a thorough understanding of the theory of dynamic response of structures to earthquakes, he is probably not only quite unable to give advice on special plant and equipment, but may even be quite misleading.

Thus, electrical and mechanical engineers must master the underlying principles in order to be in control of their earthquake problems, but they also must draw up simple rules or codes so that "first and second efforts" can be carried out quickly and soundly without the involved processes of going back to first principles.

All such codes are approximations, and no code can cover all cases. Behind every code, watching that it is not improperly applied, watching that it does not get out of date, ready to give advice in doubtful cases, and to rewrite or extend the code for special cases, must be engineers with an adequate body of technical know-how, continually keeping up to date at a technical level that is high enough to be able to look ahead and identify difficult areas before they become problem areas. This is a primary function of the professional engineer and one which cannot be passed on to anyone else.

It could be true that the discredit which Leeds in ref (2) suggests has fallen on the response spectrum methods of design, is in large measure due to the "efficiency" of the industry in having produced a design procedure which can be applied without understanding the reasons behind it.

Efficiency experts and productivity promoters will recognise that such design procedures save time and money and allow work to be done with less technical skill, but they must also recognise that the application of these "non-engineered" methods to larger and larger projects without high level supervision is a sure way of treading the all too familiar stepping stones to progress - one disaster after another.

It is clear, however, that the response spectrum method, with proper recognition of the part played by ductility requirements and preferably with guidance from someone who has actually carried out non-linear time history analyses, still has a most important part to play in the earthquake engineering of the more numerous, less spectacular structures which come in the medium height, short period range.

The author offers thanks to the management of N.Z.E.D. for having allowed him the opportunity of working in depth in this field and to the various colleagues and friends within and outside the Department who have assisted in various ways and to his wife who is heartily sick of earthquake talk and earthquake papers, but who has nobly put up with it all.

7. REFERENCES

jumped rails".


### TABLE 1.

<table>
<thead>
<tr>
<th>Values of $K_A$</th>
</tr>
</thead>
<tbody>
<tr>
<td>(additional items for Table 5A of N.Z.S.S. 1900: Chapt.8)</td>
</tr>
</tbody>
</table>

for a ductile moment resisting space frame without diagonal bracings $K_A = 0.9$

for a fully cross braced type of structure $K_A = 1.2$

(Note: The effective seismic coefficient $K_{AC}$ is therefore $0.9 \times 0.167 = 0.15$

or $1.2 \times 0.167 = 0.2$)
### TABLE II.

**VALUES OF $K_B$ AND $K_X$**

<table>
<thead>
<tr>
<th>Class Characteristics</th>
<th>Rigid Items Rigidly Mounted</th>
<th>Flexible Items or Flexibly Mounted Items</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$K_B$</td>
<td>$K_X$</td>
</tr>
<tr>
<td>Ductile Assembly (adequate ductility in plant item or in fixings or supports)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>IR</td>
<td>1</td>
<td>$\left[ \frac{h_x}{h_{cg}} \right] K_A$</td>
</tr>
<tr>
<td>IF</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Assembly with limited ductility</td>
<td>2</td>
<td>$\left[ \frac{h_x}{h_{cg}} \right] K_A$</td>
</tr>
<tr>
<td>IIR</td>
<td></td>
<td></td>
</tr>
<tr>
<td>IIF</td>
<td>3</td>
<td>$\left[ \frac{h_x}{h_{cg}} \right] K_A$</td>
</tr>
<tr>
<td>Non-ductile Assembly (strength limited by non-ductile components in plant item or supports)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>IIIR</td>
<td></td>
<td></td>
</tr>
<tr>
<td>IIIF</td>
<td>3</td>
<td>$\left[ \frac{h_x}{h_{cg}} \right] K_A$</td>
</tr>
</tbody>
</table>

**NOTE:** Alternatively for Class III items, dynamic analysis methods may be used to estimate the likely response of equipment to earthquake motion of the size and nature implied by the response spectra on drawing Z 5590. (Fig. 10)
EXPLANATORY NOTES FOR STANDARD EARTHQUAKE RESPONSE SPECTRA:-

1. These response spectrum curves define for design purposes a "standard" earthquake which could occur at any given site about once in a hundred years in many parts of N.Z. - less frequently in others.

2. They define the effects which could be expected at a place situated on deep firm alluvium about 12 miles from the epicentre of a shallow (i.e. 15 miles deep) earthquake of Richter magnitude 6.2. (This magnitude can be expected to occur within 70 miles of Wellington about once in 15 years on the average.)

3. For sites on firm rock and/or nearer to an epicentre spectrum curves would be similar in shape but would peak at a lower natural period value, say .15 to .25 sec.

4. For sites on poor foundations and/or at greater distances from larger earthquakes the spectrum curves could peak at higher natural period values - higher than 1 second in certain conditions.

5. These spectra are derived from those given in Fig. 15 of the D.S.I.R. Bulletin 166 - "Earthquake-generated Forces and Movements in Tall Buildings" - R.I. Skinner (1964). The spectra in Fig. 15 are recommended as the basis for the earthquake design of tall buildings and also were the starting point for deriving the seismic design factors recommended in N.Z.S.S. 1900! Model Building Bylaws: Chapter 8. Basic Design Loads - which apply to Power Station and Substation buildings.

6. The response spectrum curves in Fig. 15 were in the absence of N.Z. data, derived from the strong motion records of four United States earthquakes, Elcentro (Calif.) 1934 and 1940; Olympia (Wash.) 1949; and Taft (Calif.) 1952. Taking both horizontal components of each, the eight records were "weighted" to the same size, applied by analogue computer to the electrical equivalent of the model shown and the average response determined for each value of natural frequency and damping.

Caution: (1) The smoothness of the curves does not imply corresponding accuracy. Mr. Skinner suggests that about 90% of earthquakes of similar size would cause a response within 30% of the plotted values.

Caution: (2) For structures with natural periods in the range 0-3 seconds where the curves are dotted, the peak value for the curve (i.e. the horizontal straight line) should be used instead, unless it is quite certain that unexpected flexibility in support and/or foundations will not increase their period and that local ground conditions do not shift the location of the peak to a lower period.

Caution: (3) The curves do not define a maximum earthquake - larger can occur but less frequently. Statistical data on this point will be scarce for the next 2 or 3 hundred years, by which time more adequate data may be available.
Earthquake design horizontal load
= weight × seismic design factor
(for equipment with natural period of vibration < 0.4 second)

If damping is not known, use 1.5

Figure 2: Seismic Design Factors for Brittle Equipment
Period less than 0.4 sec.
NZED Specification Drawing Z 5396.
\[
\begin{align*}
\dot{x}_1 &= -m_1 \ddot{y} + c_2 \dot{x}_2 + k_2 x_2 - (c_1 + c_2) \dot{x}_1 - (k_1 + k_2) x_1, \quad \text{mass } m_1 \\
\dot{x}_2 &= -m_2 \ddot{y} - c_2 \dot{x}_2 + k_2 x_2 + c_2 x_1 + k_2 x_1, \quad \text{mass } m_2
\end{align*}
\]

Simultaneous differential equations describing motion of masses \( m_1 \) and \( m_2 \).

\( m_1 = 863 \text{ kg} \)
\( m_2 = 1142 \text{ kg} \)
\( k_1 = 175 \text{ kN/m} \)
\( k_2 = 993 \text{ kN/m} \)
\( c_2 = 1.34 \text{ kN/s/m} \)

\( \frac{N_2.2 \sqrt{k_2 m_2}}{(N_2 = 0.02)} \)
\( c_1 = N_1.2 \sqrt{k_1 m_1} \)  
\( (N_1 \text{ see fig 6}) \)

FIGURE 4: 220kV Circuit Breaker Outline, Mathematical Model as Two Body Problem and Differential Equations of Motion.
FIGURE 5. Acceleration Response of Circuit Breaker Blast Heads to El Centro 1940 NS Earthquake (max. ground acceleration .32g).
Damping Force

\[ F (\text{Newton}) \]

\[ 7400 \text{ N} \]

Velocity \( \dot{x}_i \), \( \text{m/s} \)

\( \dot{x}_i (\text{ref}) \text{ m/s} = 3 \text{m/s} = 1 \text{ft/s} \)

for Viscous Damping \( F = 2 N_i, m_i, w_i, \dot{x}_i \)

for (Velocity)\(^2\) \( F = 2 N_i (\text{eq}), m_i, w_i, \dot{x}_i (\text{ref}) (\dot{x}_i \dot{x}_i, I/\dot{x}_i (\text{ref})) \)

for Coulomb \( F = 2 N_i (\text{eq}), m_i, w_i, \dot{x}_i (\text{ref}) [\text{sign} \dot{x}_i] \)

FIGURE 6: Definition of Viscous and Non-linear Damping.
FIGURE 7: Displacement Response of Circuit Breaker Tank to El Centro 1940 NS Earthquake.
(A). ORIGINAL SUPPORT SYSTEM
SEISMIC FACTOR = 0.25G.

250 kV DC.

Smoothing Reactor
(80 fans)

Midpoint of coils
connected to tank

Porcelain Columns

(B). MODIFIED SUPPORT SYSTEM
YIELD ACCELERATION = 0.22G

(C) ACTION UNDER EARTHQUAKE

--- Plastic Hinges - Yield
moment of hinges
less than fracture
moment of columns.

Surge divertors not shown
on this diagram

Note:

Steel Bosses
FIGURE 9: Force-Displacement Response of Yielding Structure of Fig. 8 to El Centro 1940 NS Earthquake.
Damping of Structure (Fraction of Critical)

Max Ground Acceleration 0.32g

Rigid Structure Range (>10 Hz)

Seismic Design Factor for Public Buildings in Zone A, also all Power and Substation Buildings. (N.Z.55, 1900, 1965 Chapt 8)

Undamped Natural Period of Vibration of Structure (sec)

Vertical Seismic Design Factor

Max Ground Acceleration 0.25g

Damping of Structure (Fraction of Critical)

Undamped Natural Period of Vibration of Structure (Sec.)

Note:

Earthquake Design Load = Weight x Seismic Design Factor

FIGURE 12: Floor Response Spectra for Equipment in an 8 Hz Building (from Ref. 22).