NON-DESTRUCTIVE METHOD TO INVESTIGATE THE HARDNESS-PLASTIC STRAIN RELATIONSHIP IN CYCLICALLY DEFORMED STRUCTURAL STEEL ELEMENTS

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SUMMARY
A non-destructive hardness testing method is being developed to determine plastic strain in steel elements that have been subjected to inelastic seismic loading. The focus of this study is on the active links of eccentrically braced frames (EBFs). The 2010/2011 Christchurch earthquake series, especially the very intense February 22 shaking, was the first earthquake worldwide to push complete EBF systems into their inelastic state, generating a moderate to high level of plastic strain in EBF active links for a range of buildings from 3 to 23 storeys in height. Plastic deformation was confined to the active links. This raised two important questions: 1) what was the extent of plastic deformation; and 2) what effect does that have to post-earthquake steel properties? To answer these questions a range of actions is being taken. A non-destructive hardness test method is being developed to determine a relationship between hardness and plastic strain in active link beams. Active links from the earthquake affected, 23-storey Pacific Tower building in Christchurch has been hardness and material property tested to determine the changes in the steel, and cyclic testing of active links to defined levels of inelastic demand is underway. Test results to date show clear evidence that the hardness based method is able to give a good relationship between hardness measurements and plastic strain. This paper presents recent significant findings from this project. The principal of these is the discovery that hot rolled steel tested beams, all carry manufacturing induced plastic strains, in regions of the webs, of up to 5%.

INTRODUCTION
During the Christchurch earthquake series of 2010/2011, and in particular the intense earthquake of 22 February 2011, horizontal peak ground accelerations in the CBD were recorded in the range of 0.5g to over 0.8g and peak vertical accelerations were over 1.0g were also measured [1]. These accelerations were sufficient to push the active links of the widely used earthquake resisting frame system, the Eccentrically Braced Frame (EBF), into the inelastic range. The buildings all are effectively self-centred, meaning that demolition was not required. Inelastic demand was concentrated into the active links of these frames as intended with the design requirements of the Steel Structures Standard [2] and steel seismic design procedures [3]. This raised the urgent question as to, whether the extent of inelastic demand was sufficient to require replacement of the active links and how to determine this in a non-destructive manner. There are no established criteria either nationally or internationally that provide guidance for checking when the threshold has been reached (or what an acceptable threshold is), or that indicates the remaining life expectancy and the replacement procedure for damaged active links in the EBFs.

Hardness as a non-destructive method to measure the plastic deformation of metals has been used since early 1900s. During the early development stages of this method, utilising machines that measure hardness by indenting the material to depths of over 1mm, it was realized that this could not be considered as a non-destructive testing method due to the large indentation impressions left on the test surfaces. It was also not practicable for field use due to the size and weight of the testing equipment. Hugh O’Neill [4] addressed the unrecovered indentation problems after a detailed study of Brinell’s Indentation Hardness Testing Method combined with Meyer’s Law. Developments of portable hardness testers based on an elastic rebound have addressed the portability issues. A wealth of literature is now available concerning the relationship between hardness and monotonic plastic deformation of metallic materials [5]. However, the relationship between hardness and plastic deformation of cyclically deformed metals is not available. In the present study, a non-destructive Leeb (portable) hardness tester (model TH170) has been used to measure the hardness in order to determine the plastic strain in the webs of active links and dog-bone tensile specimens, from hot rolled steel universal sections and steel plates. As the results of hardness testing using portable (rebound) hardness machines are more sensitive on the test surface preparation and weight of the component being tested, a bench top Rockwell hardness

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testing machine was used to validate the accuracy of the hardness measured by the TH170.

**HARDNESS TECHNIQUE**

The Leeb test measures the hardness of the material dynamically by elastically impacting a small spherical indenter onto the test surface; the impact and rebounding velocity of the indenter with the test material is measured by the device and converted into a hardness measurement. The TH170 is being widely used because of its speed, light weight, portability, and most importantly, its independence from reticulated power or air supply. These properties are essential for use inside an earthquake damaged building. However, the TH170 is prone to inaccurate readings if the test piece is not prepared adequately, with the requirements for the surface preparation and minimum weight of the test piece being specified in the ASTM Standard [6] and instruction manual [7]. A minimum test piece weight of 5 kg is recommended by the manufacturer. The readings are also very dependent on achieving a smooth surface and surface roughness less than or equal to 1.6 microns has been found by the principal author to be necessary for accurate test results. Specimens were prepared and hardness tests were carried out using both the TH170 portable tester and a bench-top Rockwell hardness tester according to the ASTM Standards [8] for Rockwell hardness of metallic materials. Surface preparation techniques used in this study and their comparisons are reported in [9]. Based on these test results, the Powerfile with P120 belts followed by P180 hand sanding was adopted throughout this investigation. The hardness technique presented in this section is based on testing of dog-bone specimens and active links as shown in Figure 1.

![Figure 1](image1.png)

*Figure 1: Hardness test specimens and locations.*

In determining the hardness and the level of inelastic demand, dog-bone specimens prepared for tensile testing, to generate the monotonic stress-strain characteristics and for hardness testing, were water jet cut from 8 mm and 12 mm thick hot rolled steel plates. The universal column section 150UC23.4 was used to fabricate the active link specimens. The dog-bone specimen dimensions were determined from the tensile test requirements, given in Standards [10], [11] and [12]. The active link specimens were designed according to [11] Clause 12.11.1, HERA Report R4-76 [13] page 11.1-28 and literature by [14]. Limitations on cyclic loading capability and specimen size restricted the link section to a Grade 300 150UC23.4 section with the clear link length complying with the requirements of NZS 3404 Clause 12.11.1.2 to behave as a shear link. The specimen mass was well above the 5 kg minimum weight required by the portable Leeb hardness tester TH170. Hardness was measured at two different locations on the dog-bone specimens and at five locations on the web of the active links, as shown in the diagram (a) and (b) Figure 1.

**SURFACE ROUGHNESS EFFECTS**

For accurate hardness test results, surface roughness has been identified as an important parameter during this study. Test specimens must meet specified criteria for surface roughness according to the American Society for Testing and Materials [6] for hardness determination of metallic materials by rebound based portable machines. Any paint, including undercoat and surface coat, pits and scale must be removed. The TH170 manual [7] states that the surface roughness (Ra) needs to be less than 1.6 micrometer (µm). A plot of surface roughness versus grit numbers is shown in Figure 2. This plot shows that grit numbers P60 to P240 are fine enough to achieve this result. The results of hardness versus surface roughness based on three preparation methods, previously presented in [9], show that a roughness below 0.6 microns is required for accurate results.

![Figure 2](image2.png)

*Figure 2: Comparison of surface roughness with different surface cleaning methods [9].*

Figure 3 illustrates the difference in hardness readings between surfaces prepared to Ra = 0.6 microns and unprepared surfaces using the two different hardness methods. It was also found that the TH170 does not read when the tested surface is covered with paint or mill scale. Based on these test results, the Powerfile with P120 belts followed by P180 hand sanding was adopted throughout this investigation. Rockwell B hardness (HRB) and Leeb hardness for a prepared surface with grit numbers up to P240 and an unprepared surface of cleaned and mill scale removed is presented in Figure 3. There is significantly greater variation in the Rockwell and Leeb readings from the unprepared surface and a slight difference in the average value. This highlights the need for surface preparation to achieve a roughness of Ra < 1.6 microns.
Figure 3: Comparison of hardness between surfaces prepared to Ra < 0.6 microns and unprepared surfaces using a Rockwell B machine and Leeb TH170 hardness tester on a 25 mm thick specimen.

HARDNESS AND PLASTIC STRAIN RELATIONSHIP

Monotonically strained dog-bone specimens

Uniaxial tensile testing was carried out on 8 mm and 12 mm thick hot rolled steel plates, followed by Rockwell hardness testing using a bench top Rockwell machine. Targeted plastic strains for tensile loading were 5%, 10%, 15%, and 20% of the 8mm thick specimens and 2.5%, 5%, 10% and 15% of the 12 mm thick specimens. Bench top Rockwell hardness was measured at each strain level using the scale B, RB, with a 100 kgf and a 1.588 mm (1/16″) diameter ball indenter. Both surfaces of all the test specimens were prepared as explained in Section 3. Minimum edge distance was kept more than 2.5 times the indenter diameter and more than 3 times between two indentations according to [8]. Measured hardness against plastic strain is presented in Figure 4.

For the hardness tests, specimens were grouped from lowest to highest achieved strain levels as a set, and this was repeated for up to four sets. Prior to tensile testing, zero strain, Rockwell hardness for 8mm thick specimens ranged from 80 to 83 and for 12 mm thick specimens from 82 to 86. The hardness from zero strain to the commencement of plastic strain (i.e. across the yield plateau) was considered to be constant when plotting the hardness versus strain results. The strain corresponding to the end of the yield plateau was determined from the force-displacement diagram. The rationale for this is due to the material strength, not increasing until the yield plateau region is exceeded, meaning that the hardness will not increase in that region either. For Grade 300 steel that extends out approximately 2.8% plastic strain. At each strain level, 15 hardness readings were taken and then average, minimum, maximum and median values determined. Hardness gradually increased with increasing plastic strain, in both cases, as shown in Figure 4.

Figure 4 shows the majority of hardness values fall between the first and third quartile (Q1 and Q3), indicating that this relationship is within the acceptable limits. Based on monotonically strained specimen test results, the relationship between monotonic plastic strain and hardness can be determined and use to calculate residual plastic strain demand from monotonic loading.

Cyclically strained active link specimens

A series of cyclic tests have been carried out to determine the link between hardness and cyclic plastic strain. An active shear link specimen as given in Figure 1 (b) was designed and fabricated according to the design guidelines discussed in hardness technique. Universal column section UC150UC23.4 was used instead of a universal beam section due to the lower shear capacity and greater flexural-torsional stability of the column sections resulting from their geometry compared with the beam sections. UC type sections are typically used in EBF systems in buildings for the same reasons, shows the active shear link setup for full scale cyclic testing.

A digital image correlation (DIC) technique developed at the Centre for Advanced Composite Materials (CACM), University of Auckland, was used to analyse plastic deformation behaviour within the webs of the active links.
method. Initially three sets of identical active links were tested with target strains 3%, 7% and 15%, using the dynamic cyclic loading with 5 cycles for each test. Hardness measurements were carried out at various steps of each loading.

Cyclic load versus plastic displacement for these tests is presented in Figure 6. Note that this is a plastic displacement of the active links; the ram displacement was greater due to elastic flexibility in the testing system which was accounted for. A baseline set of hardness readings was taken before the tests and then hardness was measured at maximum loads and when the loads were removed in each cycle, giving 4 sets of hardness tests per cycle. Active links were tested under a displacement controlled environment to maintain uniformly distribute strain across the webs. Figure 5 (a) and (b) show the specimen at maximum deformation; hardness measurement locations are given in (c) and photogrammetric unit while capturing images is shown in (d).

Load displacement diagrams in Figure 6 show, the excellent ductility and efficient energy dissipation capacity of the designed active link beam. For the 3% plastic strain and it’s corresponding hardness, the specimen was pulled up to +8.3 mm, then unloaded so that the specimen was plastically deformed but not loaded. Hardness was measured. Then the specimen was pushed down to -1.5 mm and unloaded to return to approximately the zero displacement position. Hardness was measured again. This was repeated for the reversed loading in -8.3 and +1.5 mm, giving 4 sets of hardness tests per cycle. Cyclic loading was repeated and hardness was measured for up to 5 cycles. For the 7% plastic strain, the specimen was pulled and pushed to ±16.3 mm and ±1.5 mm. For the 15% plastic strain, the deformations were ±32.3 mm and ±1.5 mm. While the cyclic testing and hardness measurements were being taken, photogrammetric images were being recorded simultaneously at the speed of 1 image per second with the pixel density of 3840 x 2764.

Figure 7 (a) shows that the hardness increases with increasing plastic strain demand and the hardness between three selected strain levels is noticeably different. Hardness measurements presented in Figure 7 are from the test locations given in (b). At the centre of the web, the average hardness measured by TH170, before the test was 50. Average hardness value for the 3% plastic strain specimen was 61 and for the 7% plastic strain specimen 67. Average hardness for 15% plastic strain was 71 and the 20% trial specimen (hardness measured at the end of 5th cycle) exhibited 75.8 average hardness.

Hardness at the centre of deformed links was plotted against the cycle number as shown in Figure 7 (b). Upper and lower boundaries of hardness in each cycle were similar between the hardness values. Average hardness, increased with increasing plastic strain demand, as observed from Figure 7 (a). At no strain (zero cycle number), hardness range is between 45 to 55. Hardness gradually increases up to the second cycle with no significant increase for subsequent cycles. However, the 15% specimen achieved very little incremental increase in hardness in the second cycle. Neither material softening nor hardening was evident in this study for up to five cycles. However, that is for cycles of constant plastic strain. In the future and final stage of this project, active link specimens will be tested under variable cyclic plastic loading to determine the effects of the loading regime on the measured hardness.

The hardness results with cyclic loading also showed clear evidence of “pre-earthquake” plastic strains, (i.e. strain prior to any induced deformation) in the top and bottom quadrants of the web, while in the centre of the web, there was no prior plastic strain measured. This is consistent with earlier results [9]. These pre-earthquake plastic strains were an initial surprise as it is typically considered that hot rolled sections have zero residual plastic strain, but have a complex residual stress distribution within the elastic ranges. This result means that hardness readings on yielded active links must be taken in the centre 1/3 region of the web, so as to capture only the influence of earthquake induced yielding.

Figure 5: A full scale active link specimen under cyclic loading. Test Hall, University of Auckland.
Figure 6: Cyclic load displacement behaviour.

Figure 7: Comparison of average hardness from the five cycles of loading with different cyclic plastic strain levels using TH170 and hardness comparison at the centre of the link versus cyclic number for different strain levels.
Visual inspection of yielded hot rolled active links from Christchurch buildings show the earthquake induced yielding was concentrated in a band in the middle of the web and either did not extend into the root radius at the web top and bottom or was less at the top and bottom quadrants. In addition, the paint flecking started approximately at the centre of the web and stopped close to the k-region of the section where the manufacturing plastic strain was measured. This interesting phenomenon was not identified in the initial stages of this study with hot rolled steel plates. However, it was significant during this investigation and also reported by the author’s [15]. A similar investigation was carried out by Tide [16], Okazaki [17] and G.Forcier which was reported by Hajjar et al.

Photogrammetry to investigate the plastic strain demand

The plastic surface strain distribution across the web of active link specimens was analysed using a digital image correlation (DIC) technique incorporated with Photogrammetry. The program TRIDENT V3.0, developed by Centre for Advanced Composite Material (CACM) at The University of Auckland, was used in this study. Image correlation has been used since early 1950s in the field of aerial survey this to register landmarks. However, the application of a digital image correlation technique to investigate the in-plane translation in the field of solid mechanics was developed recently by Yamaguch in 1986 [20]. DIC systems have been used by the CACM, to investigate plastic strain field of polymer composite materials for approximately 10 years, but has not been extended to include monitoring the influence of cyclic inelastic surface strain development in metals. Hence, a trial test was carried out monotonically using two dog-bone specimens extracted from a section as the active links as shown in Figure 9 (a). The strain measured using DIC was compared with extensometer strain to identify the accuracy of the DIC method before using it in cyclically deformed active links. Trial test results from both the methods strongly agreed with each other. Hence the DIC technique was adopted to

Figure 8: Hardness test results by Okazaki in 2004 [17] and G.Forcier [18, 19].

and Leon et al. [18,19]. Figure 8 illustrates hardness test results by Okazaki and G.Forcier.

PHOTOGAMMETRY TO INVESTIGATE THE PLASTIC STRAIN DEMAND

The plastic surface strain distribution across the web of active link specimens was analysed using a digital image correlation (DIC) technique incorporated with Photogrammetry. The program TRIDENT V3.0, developed by Centre for Advanced Composite Material (CACM) at The University of Auckland, was used in this study. Image correlation has been used since early 1950s in the field of aerial survey this to register landmarks. However, the application of a digital image correlation technique to investigate the in-plane translation in the field of solid mechanics was developed recently by Yamaguch in 1986 [20]. DIC systems have been used by the CACM, to investigate plastic strain field of polymer composite materials for approximately 10 years, but has not been extended to include monitoring the influence of cyclic inelastic surface strain development in metals. Hence, a trial test was carried out monotonically using two dog-bone specimens extracted from a section as the active links as shown in Figure 9 (a). The strain measured using DIC was compared with extensometer strain to identify the accuracy of the DIC method before using it in cyclically deformed active links. Trial test results from both the methods strongly agreed with each other. Hence the DIC technique was adopted to

Figure 9: Test setup for 6.1 mm thick dog-bone specimen and overall DIC stress strain curves.
ensure the level of strain in the active links.

Three sets of active links as shown in Figure 1 (b) sprayed surface pattern of two layers of spray paints. Black graphite spray on a silver background to ensure the paint uniformly covered the test surface with spaced black speckles. Figure 10 (a) shows a prepared specimen ready for a test and (b) shows strain contours of the non-deformed specimen.

Premature paint flecking as shown in Figure 10 occur throughout. As a result, the system records the baseline strain approximately 2% higher than the overall strain across the web; as recorded according to the colour scale bar. When the average strain across the web was checked by TRIDENT V3.0 the DIC strains match acceptably the level of plastic strain induced by the deformation controlled loading. Figure 10 (c) represents contour plots where the specimen was fully loaded to 3% strain and (d) is the specimen when the load was released. The influence of the stiffener on the opposite side of the web is clearly picked up by the contour plots. Slight differences in contour plots have been observed between (c) and (d), however strain demand was slightly higher close to the flanges and around the welds as seen from the hardness comparison for 3% plastic strain in Figure 7 (a). Figure 10 (e) and (f) show 7% strain contours when the specimen was loaded and released. Higher contour values are observed than (c) and (d) and their highest close to the flanges and around the welds. An increase in hardness close to the flanges for 7% plastic strain is also significant. In Figure 10 (g) are 15% plastic strain contours when the specimen was at maximum

Figure 10: Strain contour plots across the web of the active link specimens using DIC by CACM at The University of Auckland.
load and (h) when the load was removed. Significant change in contour plots are observed and non-uniform contours due to flecking of paint is noticeable. Plastic strain close to the flanges and around the welds is noticeably increased, for the 15% plastic strain cycles, but at this level of deformation the active links are starting to come into inelastic axial tension as well as high shear strain. That is seen in the hysteresis curve, Figure 6 (c). High plastic strain near the flanges due to manufacturing induced plastic strain [9] was clearly picked up by both hardness technique and DIC system. However, interestingly the DIC system showed that the cyclic induced plastic strain develops near simultaneously over the full depth of the web, even though the top and bottom quadrants that have the pre-existing manufacturing induced plastic strain. This is as expected given that the test is displacement controlled.

CONCLUSIONS
This paper presents key results from a research project with the objective to develop a non-destructive hardness method for determining the plastic strain of steel elements subjected to seismic loading. Dog-bone specimens, with 8 mm and 12 mm thickness, were tested monotonically from 2.5% to 20% strain, followed by hardness measurement. An appropriate level of surface preparation method to measure the hardness was established. Hardness measured from cyclically deformed active links with various strain demands were monitored using a DIC system. Assessment of this experimental work is summarised in the following points:

1. Monotonic plastic tensile strained specimens show a good correlation between hardness and the amount of strain demand, which gives strong evidence that this method can be used to measure the plastic strain of cyclically deformed active links.

2. Monotonic test results show that, a majority of the hardness values falls within the first and third quartile (Q1 and Q3) indicating that the relationship between hardness and monotonically strained steel is within the acceptable limits.

3. The methods and techniques of surface preparation greatly affect the hardness test results for both hardness testing methods.

4. Hardness testing has to be undertaken in the centre of the web, where there is no influence of manufacturing strain, and similarly tensile specimens have to be taken in the centre of the web, parallel to the flanges.

5. Plastic strain caused by manufacturing was found in the top and bottom quadrants on the web and was detected by both the methods. Hardness is highest near the flanges and lowest in the centre of the web.

6. The energy dissipation capacity of the active links increased with increasing plastic deformation which increased hardness gradually from 50 to 75 points. However the increases in hardness becomes less with increasing plastic strain.

7. Neither material softening nor hardening were significant in this study involving 5 cycles of constant plastic strain.

8. Hardness test results from plastically strained active link specimens shows a good correlation with strain contour plots from the digital image correlation (DIC) system incorporated in the TRIDENT V3.0.

9. Based on the hardness measurements from field and experimental test results, active link repair or replacement can be established. Repair and replacement will depend on three primary criteria; neither repair nor replacement required, heat treatment of the active link required or met the status where the active link must be substituted.

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